

PRIVACY POLICY

We very much respect your privacy and value the trust you place in us when you share your personal information with us.

1. Usage of Personal Information provided over the Internet

Personal Information. We may collect your name, email address, telephone or facsimile number(s) and/or other information personal to you (“**Personal Information**”) when you contact us or ask us to contact you in connection with our services offered or any content or information contained on this Site. If we need to contact you regarding transactions or other activity, your Personal Information allows us to reach you quickly.

Even if you are currently not yet our client, we may need to ask for your Personal Information. For instance, if you wish to seek a referral to a professional adviser (e.g. financial adviser, auditors, lawyers or investor relations) or other contacts from us, we will need your Personal Information to swiftly respond to your requests.

We will not send any communications which you have not asked for unless there is a strong, valid reason.

Survey Information. Occasionally we may ask you to participate in a brief survey to help us make choices as we look for ways to improve this Site. If you choose to participate, information you provide will not be identified with you personally.

2. Prioritizing your Privacy

First Asia Finance Group Limited (“**First Asia**”) is committed to safeguarding information provided to us by each individual client, whether received through working on a transaction or other enquiries.

Disclosure. To better serve you with your financial and investment needs, we may share non-public personal information with other companies connected with us such as investment advisors, auditors, and other financial service providers.

We will only share non-public personal information with outside parties in the limited circumstances permitted by law. For example, this includes situations where we need to share information with companies who work on our behalf to service or maintain your services or process transactions you have requested, when the disclosure is to a party representing you, or when required by law (e.g. in response to legal process). Additionally, we will ensure that any outside companies assisting us are under contractual obligations to protect the confidentiality of your information, and to use it only to provide the services we've asked them to perform.

Confidentiality and Security. Our employees are required to follow procedures with respect to maintaining the confidentiality of our investors' non-public personal information. Additionally, we maintain physical, electronic, and procedural safeguards to protect the information. This includes performing ongoing evaluations of our systems containing investor information and making changes when appropriate.

Direct Marketing. While it is not the intention of First Asia to use any Personal Information you provided to us to conduct any direct marketing activities, you have the right to request, without charge, to opt out from receiving any direct marketing materials from us.

3. Recruitment

The Personal Information from prospective recruitment candidates collected will be used for recruitment purposes only. Such Personal Information collected will be used by First Asia to assess your suitability to assume the job duties of the position for which you have applied and to determine preliminary remuneration, bonus payment and benefits package to be discussed with you subject to selection for the position.

4. Enquiries

Any enquires regarding the Personal Information provided, requests for access to personal data, correction of personal data or opt out request should be addressed to: enquiries@firstasia.com.hk. We shall respond to your enquiry as soon as practicable.